

Use of CMP Funds in States for Culture Change as reported by Coalitions
June 2010

Arkansas

The state survey agency (SSA) and the Arkansas Health Care Association worked on a bill to amend state law to permit access to civil monetary penalty (CMP) funds for construction of Green Houses (small free standing buildings housing 7-10 elders) to offset such costs as specialized staff training, architects with expertise in designing Green Houses, construction costs, etc. Three grants for a total of \$1,225,000 were awarded to one assisted living facility and two nursing homes in 2009. Using CMP funds, the state survey agency sponsored two major Eden Alternative training opportunities with significant emphasis placed upon GREEN HOUSE® development. CMP funds were also used to fund a paid position to coordinate the Arkansas Advancing Excellence Campaign.

California

In 2007, the California Culture Change Coalition was instrumental in the passage of AB 1397 by the state legislature which provides the California Department of Public Health with the statutory authority to use federal civil monetary penalty funds to improve quality of care and quality of life in nursing homes. Although the legislation granted spending authority, so far the Department has declined to spend the funds citing the necessity to keep a reserve for temporary receiverships.

Colorado

In 2009, the Colorado Culture Change Coalition was instrumental in getting HB1196, a bill that provides for money in the nursing home penalty cash fund to improve the quality of life for residents of nursing homes, passed by the state legislature. The money can be used for consumer education to promote resident centered care in nursing facilities, and train state surveyors and ombudsman regarding resident directed care. It establishes an accountability board to provide recommendations on expenditures of the funds. The money allocated in 2009-2010 is \$200,000 and for fiscal year 2010 and thereafter an amount equal to the lesser of the amount approved by the accountability board or twenty five percent of the money's deposited into the nursing home penalty cash fund the immediate preceding year. The First CC Accountability Board has been selected and is currently working to publish the information about how to apply for the CMP funds. The Colorado Culture Change Coalition is providing administrative support to the Governor's Accountability Board. The grants will be awarded by June 30, 2010.

Connecticut

The Connecticut Department of Public Health Licensure Division set aside \$50,000 of CMP money to fund culture change grant awards of \$2,500 each to nursing homes that demonstrate their earnest pursuit of culture change. The awards are restricted to rolling steam tables or raised gardens.

Florida

From FY 2005-06 through FY 2008-09, the state survey agency used the funds from its Quality of Long Term Care Facility Improvement Trust Fund (CMP funds) for innovative projects to improve the quality of care and quality of life of nursing home residents. Most of the grants were awarded to nursing homes but a grant was also awarded to the Florida Pioneer Network to conduct regional training sessions with Joanne Rader and to the Institute for Caregiver Education for regional training sessions on Culture Change 101. between providers and the agency about culture change issues. In 2009, the SSA used CMP funds to bring Carmen Bowman to Florida to present at their regional Joint Training Sessions for Providers and Surveyors. In 2010, the Florida Pioneer Network submitted, along with the Long Term Care Ombudsman Program (LTCOP), a funding proposal to the Agency for Health Care Administration for FPN to partner with LTCOP to train Ombudsmen to serve as facilitators of culture change with provider organizations. However, at this writing, there is no information about the state agency's intended use of the funds for FY 2010-11.

Georgia

The Culture Change Network of Georgia, Aging Services of Georgia, and other organizations/advocates have been supporting an effort to appropriate CMP funds to programs supporting Culture Change. The first "win" for this effort is that the FY '11 budget appropriated \$1 million to the long term care ombudsman program and \$600,000 to Adult Protective Services to allay previous reductions in these programs. The General Assembly did not include the remaining CMP funds to replace state funds in unspecified ways. CCNG and Aging Services of GA will continue working with other organizations/advocates to create and submit a plan to the Georgia Department of Community Health on appropriate/effective ways to use CMP to support the culture change work in Georgia. Georgia is one of four states (the others are Massachusetts, California, and Pennsylvania) that are participating in a project led by the LTC Community Coalition in New York City to develop and implement a work plan to ensure that CMP funds are used for projects to improve the quality of care and life for nursing home residents.

Illinois

The Illinois Pioneer Coalition has been successful in securing 4-two year grants through CMP funds from the Illinois Department of Public Health (IDPH). The coalition is currently receiving the second of two grants that were awarded directly to the IPC. The components of the new grant activities include building the state coalition, statewide education, grants to regional coalitions and other culture change efforts throughout the state. With these funds, the Illinois Pioneer Coalition has also contracted with a full time Executive Director. The IPC also plays an active role in culture change education with surveyors and their supervisors by providing ongoing training at regional meetings and other IDPH sponsored educational events. (Grant proposal can be found at:

<http://www.pioneernetwork.net/Data/Documents/GrantapplicationfromIllinois.pdf> .)

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Louisiana

In 2005, the Louisiana Department of Health and Hospitals (DHH), Health Standards Section (HSS) and the Long-Term Care Ombudsman Program (LTCOP) sponsored a culture change conference using CMP funds. Also in 2005, the HSS Manager, in conjunction with the Quality Improvement Organization and the LTCOP began hosting meetings with providers who had indicated strong interest in culture change. These meetings gave rise to LEADER (Louisiana Enhancing Aging with Dignity Through Empowerment and Respect), the state culture change coalition (incorporated as a 501(c)(3) in February 2008). Largely as part of the response to the crises created by Hurricanes Katrina and Rita, DHH funded a coalition-led project using CMP funds in the New Orleans and Lake Charles regions to address staffing issues. While not promoted as culture change efforts, the project was designed to help facilities improve staff stability and retention—elements fundamental and critical to the success of culture change efforts. Deliverables for this project include two tools for replication: (1) a DVD and facilitator's guide that focuses on the lessons learned in Hurricane Katrina; and (2) a detailed instruction guide to the exercises and methods used in the Lake Charles learning sessions. In 2009, LEADER was awarded a \$25,000 grant using CMP funds from DHH to support the 1st Annual Louisiana Culture Change Summit which took place in April 2010.

Maine

The Maine LANE/Culture Change Coalition received a CMP Grant to coordinate a Culture Change Coalition Mission and Planning day and used the money to hold a Strategic Planning Session with Carol Benner (Advancing Excellence) and Cathy Lieblich (Pioneer Network) for the blended coalition on January 21, 2010.

Massachusetts

The Massachusetts Culture Change Coalition received a grant using CMP funds from the Massachusetts Department of Public Health (DPH) to develop an Outreach and Communications plan which will include a semi-annual newsletter and a "script" for use with local cable, radio and public television. In mid-2010, DPH will initiate the Quality of Life Program (QLP) to utilize CMP funds for culture change initiatives to improve the quality of life of residents. QLP will provide mentor services and up to \$10,000 for up to 10 nursing homes to implement consistent staffing and build an infrastructure in which continuous quality improvement can occur. Among other activities funded by the Department are seminars for providers and surveyors on topics related to quality of care and services and CMP monies funded three surveys assessing family satisfaction with the care and services their loved ones receive. For the past eight years, CMP monies funded the Resident Empowerment Program which awarded grants to nursing homes with the explicit purpose of improving the quality of life of nursing home residents.

Michigan

In support of culture change in Michigan, the Advancing Excellence LANE received a CMP grant in 2009 to bring David Farrell to Lansing for a well attended, one-day symposium on consistent assignments. More recently, another CMP grant has been awarded, this time to PHI, to facilitate the creation of a consensus model for culture change within the state of Michigan, inclusive of all nursing home stakeholders. MAPCC (Michigan Alliance for Person Centered Communities) will be involved in

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this work, which is intended to focus the vision of culture change and a person-centered approach across nursing homes, provider associations, government agencies, surveyors, consumer advocates and worker associations.

Minnesota

The Minnesota state survey agency established an Advisory Committee to help determine how to spend the CMP funds each year. In 2006 and 2008, the Coalition was awarded funds to conduct culture change summits.

North Carolina

The North Carolina Coalition for LTC Enhancement (which is provided with administrative support from the state survey agency) uses CMP funds for its Environmental Enhancement Grant Program. The Program provides grants to nursing homes to assist them in transforming their cultures of care. To date, this program has distributed over \$1.2 million to help move 69 homes along their unique enhancement journeys.

Oregon

Since 2005, the state survey agency has been using CMP funds to support culture change using a partnership model of pairing surveyors with providers using an application process to select 6 providers and 6 surveyors. These surveyors do not lead the teams, but function as a team member and resource to the teams about the regulations and help them problem solve when change ideas or questions arise which the team has concerns about relative to regulation. The surveyors are not assigned to survey the buildings where they serve on the culture change teams. CMP funds have been used to contract with a consultant to coach/coordinate the activities of the teams. The surveyors have participated in the conference calls and face to face meetings that the agency has had with the teams.

Tennessee

Since 2001, the Tennessee Eden Alternative Coalition (TEAC) has received an annual \$10,000 grant from the State CMP money to fund culture change education and research. From those funds, the Coalition ensures at least one culture change training is conducted each year for its members to attend free of charge. In addition, since 2000 the state survey agency has allotted \$50,000 in grants annually from the State CMP money to fund 10 grants of \$5k each for the implementation of culture change and the Eden Alternative in Tennessee nursing homes, assisted living facilities and homes for the aged. Five nursing homes, three assisted living facilities and two homes for the aged are awarded these culture change “seed” grants each year. The Coalition is responsible for the review and ranking of each year’s grant proposals and making recommendations to the TN Department of Health for the awarding of those grants. The Coalition is currently preparing a detailed proposal and budget request for the possible use of some of the federal CMP funds that will soon be submitted to the Department for consideration. Included in the proposal will be funding for additional surveyor culture change training, additional training designed to assist deficient nursing facilities improve compliance while implementing culture change, increased grant funding for facility renovations to promote culture change, as well as, an annual gathering of culture change proponents.

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